

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lixiao Wang

**Application No.:** 

09/740101

Filed:

**December 18, 2000** 

For:

**Catheter For Controlled Stent Delivery** 

Examiner:

Alissa Hoey

**Group Art Unit:** 

3731

## RECEIVED

Commissioner for Patents

JUL 3 1 2003

Docket No.: S63.2A-9285-US01

P.O. Box 1450

Alexandria, VA 22313-1450

**TECHNOLOGY CENTER R3700** 

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

I. This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.								
$\S1.97(b)$ or otherwise because to the knowledge of the undersigned attorney it is being filed								
(check all that apply):								
(1) within 3 months of the filing date of the application (other than a CPA); or								
(2) within 3 months of entry of the national stage; or								
(3) before the mailing of a first Office Action on the merits;								
(4) before the mailing of a first Office Action after the filing of a request for								
continued examination (RCE) under §1.114;								
(5) as part of a continued prosecution application (CPA); or								
(6) during the period of a suspension of action for a CPA under 37 C.F.R.								
§1.103(b).								
II. This statement is believed to require a fee or the submission of a certification under								
37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1)								
three months beyond the filing date of a national application (other than CPA); (2) three								
months beyond the date of entry of the national stage as set forth in §1.491 in an								
international application; (3) the mailing of a first Office Action on the merits; (4) the								
mailing of a first Office Action after the filing of a request for continued examination								
under §1.114; or (5) after the filing of a request for a continued prosecution application,								
but before the mailing date of the earlier of a final office action under §1.113, a notice of								
allowance under §1.311 or an action that otherwise closes prosecution in the application,								
then:								
(1) a certification as specified in §1.97(e) is provided below; or								
$(2)$ a fee of \$180.00 as set forth in $\S1.17(p)$ is authorized below, enclosed, or								
included with the payment of other papers filed together with this								

statement.

# Application No. 09/740101 Page 3

## Information Disclosure Statement Attorney Docket No. S63.2A-9285-US01

	III. 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the								
	earlier of a final office action under §1.113, a notice of allowance under §1.311, or an								
	action that otherwise closes prosecution in the application, but before payment of the issue fee, then:								
	(1) a certification as specified in §1.97(e) is completed below; and								
	(2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or								
	included with payment of other papers filed together with this statement.								
<u>X</u>	IV. Fee Authorization. If any fee is due for consideration of this Information Disclosure								
	Statement and full payment has not been submitted herewith, regardless of which box								
	have been checked above, the Commissioner is hereby authorized to charge any								
	additional fees associated with this communication to Deposit Account No. 22-0350.  The Commissioner is hereby authorized to credit any overpayment associated with this								
	communication to Deposit Account No. 22-0350.								
If nav	ngraph II.1 or III is checked, also check one of the paragraphs below								
ij part									
	I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in								
	this Information Disclosure Statement was first cited in a communication from a foreign								
	patent office in a counterpart foreign application not more than three months prior to the								
	date of the filing of this information disclosure statement.  Lhambur partiful under 27 CER \$1.07(a)(2), that no item of information contained in the								
	I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the								
	information disclosure statement was cited in a communication from a foreign patent								
	office in a counterpart foreign application, and to the knowledge of the person signing the								
	statement after making reasonable inquiry, no item of information contained in the								
	information disclosure statement was known to any individual designated in 1.56(c) more								
	than three months prior to the filing of the Information Disclosure Statement.								

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise.

# Application No. 09/740101 Page 4

# Information Disclosure Statement Attorney Docket No. S63.2A-9285-US01

Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

By:

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: July 272003

Fames M. Urzedowski Registration No.: 48596

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000 Facsimile: (952) 563-3001

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In re Application of: Lixiao Wang

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**Catheter For Controlled Stent Delivery** 

**Examiner:** 

Alissa Hoey

**Group Art Unit:** 

3731

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Docket No.: S63.2A-9285-US01

## TRANSMITTAL LETTER

1. In regard to the above-identified application, we are submitting the attached: Petition to Withdraw Application from Issuance; RCE Transmittal Sheet; 4 page Supplemental Information Disclosure Statement with 6 references; VAS Transmittal Letter; and Postcard. RECEIVED

2. With respect to fees: JUL 3 1 2003

- □ No additional fee is required.
- Attached is check(s) in the amount of \$1010.00 + \$50

**TECHNOLOGY CENTER R3700** 

- Charge additional fee to our Deposit Account No. 22-0350.
- 3. CONDITIONAL PETITION FOR EXTENSION OF TIME

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350.



Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: // 22/03

Facsimile: (952) 563-3001

By: James M. Urzedowski
Registration No.: 48596

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Mail Stop ISSUE FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on

Julie Emerson

RECEIVED

JUL 3 1 2003

TECHNOLOGY CENTER POLICE

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT ATTY DOCKET NO.: S63.2A-9285-

US01

APPLICATION NO.: 09/740101

APPLICANT: Lixiao Wang

INFORMATION DISCLOSURE STATEMEN			T APPLICANT: Lixiao wang				
(Use several sheets if necessary)				FILING DATE: December 18, 2000		<b>GROUP:</b> 3731	
REFE	RENC	E DESIGNATION		U.S. P	PATENT AND PUBLISHEI	APPLICATION	DOCUMENTS
EXAM'S INIT.		DOCUMENT NUMBER		ATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,470,313	11/28/1995 07/08/1997			604/96	
•	AB	5,645,560					
	AC	5,843,116	12	/01/1998	Crocker et al.	606/192	
	AD	6,120,523	09	/19/2000	Crocker et al.	606/192	
	AE	6,409,741 B1	06	/25/2002	Crocker et al.	606/192	
	AF	2003/0028211 A1	02	/06/2003	Crocker et al.	606/192	06/24/2002
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	СВ						
	CC						
EXAMINER			DATE CONSIDERED				
		tial if reference considered, wh	<u> </u>				

not in conformance and not considered. Include copy of this form with next communication to applicant.